

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : PENG YIN ET AL.  
Serial No. : 10/586,123  
Filed : July 14, 2006  
For : ENCODER WITH ADAPTIVE RATE CONTROL  
FOR H.264  
Examiner : Chikaodili E. Anyikire  
Art Unit : 2482

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

[ ] 1 Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1st Office Action]  
[X] 2 Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]  
[ ] 3 Pursuant to 37 CFR 1.97(d)  
[after Final Office Action or Allowance, but prior to payment of Issue Fee]]

**Mail Stop: AMENDMENT  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450**

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

[X] 4 A list of documents on form PTO/SB/08a and/or PTO/SB/08b together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

[ ] 5 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]  
[X] 6 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and  
[ ] (a) The required certification made in item 8(a) below; OR  
[X] (b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission  
of this Information Disclosure Statement is authorized in item 9 below.  
[ ] 7 37 CFR 1.97(d): [after Final Office Action or Allowance, but prior to payment of  
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Information Disclosure Statement is authorized in item 9 below.

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[ ] (a) Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

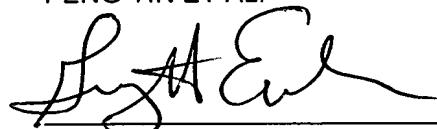
[ ] (b) No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

[X] 9 Please charge the applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0832. An original and one (1) copy of this document is enclosed.

Respectfully submitted,

PENG YIN ET AL.

By:



Guy H. Eriksen, Attorney  
Registration No. 41,736  
(609) 734-6817

GHE:pdf

Enclosures

THOMSON Licensing LLC  
Patent Operations  
P.O. Box 5312  
Princeton, New Jersey 08543-5312

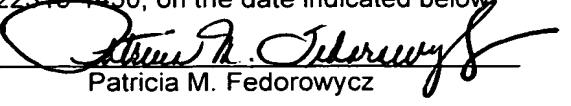
September 9, 2011

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Date: September 15, 2011

Signature: 

Patricia M. Fedorowycz



**FEE TRANSMITTAL**  
for FY 2007

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$ **\$180.00**)

Complete if Known	
Application Number	10/586,123
Filing Date	July 14, 2006
First Named Inventor	Peng Yin
Examiner Name	Chikaodili E. Anyikire
Art Unit	2482
Attorney Docket No.	PU040035

**METHOD OF PAYMENT (check all that apply)** **CUSTOMER NUMBER: 24498**

Check  Credit card  Money Order  None  Other (please identify): \_\_\_\_\_

Deposit Account: Deposit Account Number **07-0832** Deposit Account Name: **THOMSON LICENSING LLC**  
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee  
 Charge any additional fee(s) or underpayments of  Credit any overpayments  
fee(s) under 37 CFR 1.16 and 1.17

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)**

**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		
	Fee (\$)	Small Entity	Fee (\$)	Small Entity	Fee (\$)	Fee (\$)	Small Entity
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

**Fee Description**

	Small Entity
Each claim over 20 (including Reissues)	50 25
Each independent claim over 3 (including Reissues)	200 100
Multiple dependent claims	360 180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)
- 20 or HP =	x	=				

HP = highest number of total claims paid for, if greater than 20.

Independent Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)
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**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x		=

**4. OTHER FEE(S)** **Fees Paid (\$)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): **INFORMATION DISCLOSURE STATEMENT FEE: \$180.00**

**\$180.00**

**SUBMITTED BY**

Name (Print/Type)	GUY H. ERIKSEN	Registration No. (Attorney/Agent)	41,736	Telephone	(609) 734-6807
Signature					September 9, 2011

This collection of information is required by 37 CFR 1.138. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is guaranteed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).  
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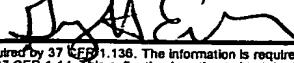
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<b>Name (Print/Type)</b>	<b>GUY H. ERIKSEN</b>	<b>Registration No. (Attorney/Agent)</b>	<b>41,736</b>	<b>Telephone</b>	<b>(609) 734-6807</b>
<b>Signature</b>					<b>September 9, 2011</b>

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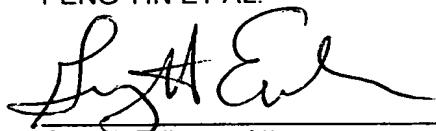
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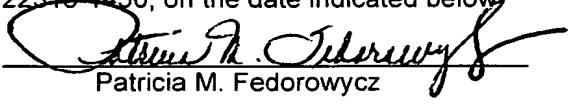
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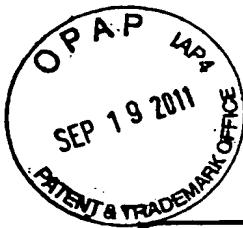
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Patricia M. Fedorowycz



PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**Substitute for form 1449A/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

Sheet 1 of 1

<i>Complete if Known</i>	
<i>Application Number</i>	<b>10/586,123</b>
<i>Filing Date</i>	<b>July 14, 2006</b>
<i>First Named Inventor</i>	<b>Peng Yin</b>
<i>Art Unit</i>	<b>2482</b>
<i>Examiner Name</i>	<b>Chikaodili E. Anyikire</b>
<i>Attorney Docket Number</i>	<b>PU040035; Customer No.24498</b>

**U.S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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**\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.**

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